Docket: 87631CIP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Alleshouse

Group Art Unit:

2876

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Serial No.:

10/601,213

Examiner:

S. Paik

DEC 1 4 2004 June 20, 2003 OFFICE OF PETITIONS

For:

**XML SYSTEM** 

Filed:

## FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

# INFORMATION DISCLOSURE STATEMENT

[X] 1		Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1 <sup>st</sup> Office Action]							
[]2	Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]								
[]3		Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]							
[]4		Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]							
P.O. Bo		inia 22313-1450							
SIR:									
	The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:								
[X] 5	A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.								
This pa	per is sub	mitted in accordance with:							
[X] 6	37 CFR	1.97(b): [within 3 months of filing or prior to 1 <sup>st</sup> Office Action]							
[]7	37 CFR	1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and							
	[]8	The required certification made in item 11 below; or							
	[]9	The \$180.00 fee specified in 37 CFR § 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.							
[]10	37 CFR	1.97(d): [before issue fee payment]; and							
	a)	This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$180.00) required by 37 CFR 1.17(i)(l) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch, Date of Allowance"), and							

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b) The required Certification is stated in item 11 below.

DEC 1 4 2004

#### [ ] 11 Certification

# OFFICE OF PETITIONS

- [ ] 12 Each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [ ] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [X] 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 23-0920. An original and one (1) copy of this document are enclosed.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references or to whether the references are considered "analogous art." This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or 103. This Information Disclosure Statement shall not be construed as an admission that the statement or cited references are, or are considered to be, material to the patentability under 37 C.F.R. Section 1.97(h).

Respectfully submitted,

WELSH & KATZ, LTD.

Eric D. Cohen Reg. No. 38,110

WELSH & KATZ, LTD. 120 South Riverside Plaza 22<sup>nd</sup> Floor Chicago, IL 60606 (312) 655-1500

December 9, 2004

#### **CERTIFICATE OF MAILING**

I hereby certify that his paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this date:

December 9, 2004

Date

Eric D. Cohen, Esq. Registration No. 38,110 Attorney for Applicants

Form PTO-144 (Rev. 8-88)		U.S. Department of Commerce Patent and Trademark Office			Attorney Docket No. 87631CIP			Serial No. 10/601,213		
				Applicant	loohougo					
FIRST SUPP		MENTAL INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Filing Date June 20, 2003			RECEWED		
-		U.S	S. PATENT DOCUME	NTS		DE	C 1 4	2004		
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Initial*	Document Number	Date	Name		Class	Special	PICE OF PENTIONS			
<del></del>	· <b>·</b>	FORE	IGN PATENT DOCUM	MENTS						
	Document Number	Date	Country	,	Class	Subclass	Tran: Yes	slation No		
	2002-103746	04-09-02	Japan				Х			
	OTHER DOC	UMENTS (In	cluding Author, Title, I	Date, Pertinent F	Pages, Et	c.)				
	Toshiba TEC Corpora 2000 (7 page power p			ng Dept., Web	Printer X	ML Function	n, Septen	nber 20,		
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	JetForm XFA - JetForm XML Forms Architecture XFA.ORG (17 page power point presentation). Applicant									
_	submits that it was aware of this document more than one year prior to the filing of the above-identified patent application									
	JetForm XML Forms Architecture XFA.COM, file://D:\JetForm%20XML%20Forms% 20Architecture %20SFA_Com.htm (10 page website article). Applicant submits that it was aware of this document more than one year prior to the filing of the above-identified patent application									
	Application Development Trends April 1999, XML The Last Silver Bullet, J. Vaughan (4 page magazine article)									
	X Marks The Spot, April 1999, D. Andrews, website article http://www.idsystems.com/reader/1999_04 infolink/xml10499.htm (3 page website article)									
	Infoworld, September Schwartz (1 page mag			DI-to-XML Cor	nversions	Getting A	Boost, I	Ephraim		
	Chicago Tribune, De commerce (1 page)	cember 4, 2	2000, New Computer	Language To	Streaml	ine Busines	s-to-Busi	ness e-		
Examiner			Date Considered							
*Examiner:	Initial if citation consid citation if not in confor applicant.									